go1

Statement of Applicability ("SoA")

Policy Owner: Director, Cyber Security

Effective Date: August 30, 2023

Legend for Control Inclusion:

REG: The control is related to a regulatory or certification requirement

CON: The control is required due to a contractual obligation

BP: The control is needed according to best practices

RA: The control is needed to mitigate inherent risk to control objectives

ISO/IEC 27001:2013 Annex A controls			Justification for exclusion	Justification for control inclusion				Status	Implementation
Clause	Sec	Control Objective/Control		REG	CON	BP	RA		
5 - Security Policies									
	5.1	**Management direction for information security**							
	5.1.1	Policies for information security				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.5.1.1
	5.1.2	Review of policies for information security				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.5.1.2
6 - Organisation of Information Security									
	6.1	**Internal organisation**							
	6.1.1	Information security roles and responsibilities				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.6.1.1
	6.1.2	Segregation of duties				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.6.1.2
	6.1.3	Contact with authorities				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.6.1.3
	6.1.4	Contact with special interest groups				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.6.1.4
	6.1.5	Information security in project management				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.6.1.5
	6.2	**Mobile devices and teleworking**							
	6.2.1	Mobile device policy				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.6.2.1
	6.2.2	Teleworking				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.6.2.2
7 - Human Resource Security									
	7.1	**Prior to employment**							
	7.1.1	Screening				Yes		Implemented	Implementation is tracked within the Vanta application:

								https://app.vanta.com/frameworks/iso27001/controls?controlId=A.7.1.1
7.1.2	Terms and conditions of employment					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.7.1.2
7.2	**During employment**								
7.2.1	Management responsibilities					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.7.2.1
7.2.2	Information security awareness, education, and training					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.7.2.2
7.2.3	Disciplinary process					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.7.2.3
7.3	**Termination and change of employment**								
7.3.1	Termination or change of employment responsibilities					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.7.3.1
8 - Asset Management									
	8.1	**Responsibility of assets**							
	8.1.1	Inventory of assets					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.1.1
	8.1.2	Ownership of assets					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.1.2
	8.1.3	Acceptable use of assets					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.1.3
	8.1.4	Return of assets					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.1.4
	8.2	**Information classification**							
	8.2.1	Classification of information					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.2.1
	8.2.2	Labeling of information					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.2.2
	8.2.3	Handling of assets					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.2.3
	8.3	**Media handling**							
	8.3.1	Management of removable media					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.3.1
	8.3.2	Disposal of media					Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.8.3.2
	8.3.3	Physical media transfer	Go1 does not transfer media containing Go1 commercial						Excluded	

			data or customer data between employees or sites. Any transfer of media that occurs will be a process of the underlying cloud provider and covered by their security controls and compliance certifications						
9 - Access Control									
	9.1	**Business requirements of access control**							
	9.1.1	Access control policy				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.1.1
	9.1.2	Access to networks and network services				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.1.2
	9.2	**User access management**							
	9.2.1	User registration and de-registration				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.2.1
	9.2.2	User access provisioning				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.2.2
	9.2.3	Management of privileged access rights				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.2.3
	9.2.4	Management of secret authentication information of users				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.2.4
	9.2.5	Review of user access rights				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.2.5
	9.2.6	Removal or adjustment of access rights				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.2.6
	9.3	**User responsibilities**							
	9.3.1	Use of secret authentication information				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.3.1
	9.4	**System and application access control**							
	9.4.1	Information access restriction				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.4.1
	9.4.2	Secure log-on procedures				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.4.2
	9.4.3	Password management				Yes		Implemented	Implementation is tracked within the Vanta application:

		system						https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.4.3
	9.4.4	Use of privileged utility programs				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.4.4
	9.4.5	Access control to program source code				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.9.4.5
10 - Cryptography								
	10.1	**Cryptographic controls**						
	10.1.1	Policy on the use of cryptographic controls				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.10.1.1
	10.1.2	Key management				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.10.1.2
11 - Physical and Environmental Security								
	11.1	**Secure areas**						
	11.1.1	Physical security perimeter	Go1 is a fully remote company					Excluded	
	11.1.2	Physical entry controls	Go1 is a fully remote company					Excluded	
	11.1.3	Securing office, room and facilities	Go1 is a fully remote company					Excluded	
	11.1.4	Protecting against external and environmental threats	Go1 offices do not have permanent facilities used to process or store Go1 commercial data or customer data. In the event Go1 offices are unavailable Go1 employees are able to work remotely.					Excluded	
	11.1.5	Working in secure areas	Go1 offices do not have permanent facilities used to process or store Go1 commercial data or customer data.					Excluded	
	11.1.6	Delivery and loading areas	Go1 offices do not have permanent facilities used to process or store Go1 commercial data or customer data.					Excluded	

	11.2	**Equipment**							
	11.2.1	Equipment siting and protection	Go1 offices do not have permanent facilities used to process or store Go1 commercial data or customer data. In the event Go1 offices are unavailable Go1 employees are able to work remotely.					Excluded	
	11.2.2	Supporting utilities	Go1 offices do not have permanent facilities used to process or store Go1 commercial data or customer data. In the event Go1 offices are unavailable Go1 employees are able to work remotely.					Excluded	
	11.2.3	Cabling security	Go1 offices do not have permanent facilities used to process or store Go1 commercial data or customer data. In the event Go1 offices are unavailable Go1 employees are able to work remotely.					Excluded	
	11.2.4	Equipment maintenance	Go1 offices do not have permanent facilities used to process or store Go1 commercial data or customer data. In the event Go1 offices are unavailable Go1					Excluded	

		employees are able to work remotely.						
11.2.5	Removal of assets				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.11.2.5
11.2.6	Security of equipment and assets off-premises	Go1 is a fully remote company					Excluded	
11.2.7	Secure disposal or reuse of equipment				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.11.2.7
11.2.8	Unattended user equipment				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.11.2.8
11.2.9	Clear desk and clear screen policy				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.11.2.9
12 - Operations Security								
12.1	**Operational procedures and responsibilities**							
12.1.1	Documented operating procedures				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.1.1
12.1.2	Change management				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.1.2
12.1.3	Capacity management				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.1.3
12.1.4	Separation of development, testing and operational environments				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.1.4
12.2	**Protection from malware**							
12.2.1	Controls against malware				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.2.1
12.3	**Backup**							
12.3.1	Information backup				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.3.1
12.4	**Logging and monitoring**							
12.4.1	Event logging				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.4.1
12.4.2	Protection of log information				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.4.2
12.4.3	Administrator and operator logs				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.4.3
12.4.4	Clock synchronization				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.4.4

	12.5	**Control of operational software**						
	12.5.1	Installation of software on operational systems				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.5.1
	12.6	**Technical vulnerability management**						
	12.6.1	Management of technical vulnerabilities				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.6.1
	12.6.2	Restrictions on software installation				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.6.2
	12.7	**Information systems audit considerations**						
	12.7.1	Information systems audit controls				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.12.7.1
13 - Communications Security								
	13.1	**Network security management**						
	13.1.1	Network controls				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.13.1.1
	13.1.2	Security of network services				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.13.1.2
	13.1.3	Segregation in networks				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.13.1.3
	13.2	**Information transfer**						
	13.2.1	Information transfer policies and procedures				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.13.2.1
	13.2.2	Agreements on information transfer				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.13.2.2
	13.2.3	Electronic messaging				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.13.2.3
	13.2.4	Confidentiality or non-disclosure agreements				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.13.2.4
14 - System acquisition, development and maintenance								
	14.1	**Security requirements of information systems**						
	14.1.1	Information security requirements analysis and specification				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.1.1
	14.1.2	Securing applications services on public networks				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.1.2
	14.1.3	Protecting application services transactions				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.1.3
	14.2	**Security in development and support processes**						
	14.2.1	Secure development policy				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.2.1

	14.2.2	System change control procedures				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.2.2
	14.2.3	Technical review of applications after operating platform changes				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.2.3
	14.2.4	Restrictions on changes to software packages				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.2.4
	14.2.5	Secure system engineering principles				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.2.5
	14.2.6	Secure development environment				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.2.6
	14.2.7	Outsourced development	Go1 does not outsource development					Excluded	
	14.2.8	System security testing				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.2.8
	14.2.9	System acceptance testing				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.2.9
	14.3	**Test data**							
	14.3.1	Protection of test data				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.14.3.1
15 - Supplier relationships									
	15.1	**Information security in supplier relationships**							
	15.1.1	Information security policy for supplier relationships				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.15.1.1
	15.1.2	Addressing security within supplier agreements				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.15.1.2
	15.1.3	Information and communication technology supply chain				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.15.1.3
	15.2	**Supplier service delivery management**							
	15.2.1	Monitoring and review of supplier services				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.15.2.1
	15.2.2	Managing changes to supplier services				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.15.2.2
16 - Information security incident management									
	16.1	**Management of information security incidents and improvements**							
	16.1.1	Responsibilities and procedures				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.16.1.1
	16.1.2	Reporting information security events				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.16.1.2

						Yes		Implemented	
	16.1.3	Reporting information security weaknesses				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.16.1.3
	16.1.4	Assessment of and decision on information security events				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.16.1.4
	16.1.5	Response to information security incidents				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.16.1.5
	16.1.6	Learning from information security incidents				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.16.1.6
	16.1.7	Collection of evidence				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.16.1.7
17 - Information security aspects of business continuity management									
	17.1	**Information security continuity**							
	17.1.1	Planning information security continuity				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.17.1.1
	17.1.2	Implementing information security continuity				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.17.1.2
	17.1.3	Verify, review, and evaluate information security continuity				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.17.1.3
	17.2	**Redundancies**							
	17.2.1	Availability of information processing facilities				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.17.2.1
18 - Compliance									
	18.1	**Compliance with legal and contractual requirements**							
	18.1.1	Identification of applicable legislation and contractual requirements				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.18.1.1
	18.1.2	Intellectual property rights				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.18.1.2
	18.1.3	Protection of records				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.18.1.3
	18.1.4	Privacy and protection of personally identifiable information				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.18.1.4
	18.1.5	Regulation of cryptographic controls				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.18.1.5
	18.2	**Information security reviews**							
	18.2.1	Independent review of information security				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.18.2.1
	18.2.2	Compliance with security policies				Yes		Implemented	Implementation is tracked within the Vanta application:

								https://app.vanta.com/frameworks/iso27001/controls?controlId=A.18.2.2
	and standards							
18.2.3	Technical compliance review				Yes		Implemented	Implementation is tracked within the Vanta application: https://app.vanta.com/frameworks/iso27001/controls?controlId=A.18.2.3

Change history

Version	Date	Description	Author	Approved by
0.1	May 6, 2022	Basic document outline	Sara Spencer	Sara Spencer
0.2	May 8, 2022	Filled out statement of applicability	Sara Spencer	Sara Spencer
1.0	June 12, 2022	Updated Statement of Applicability Controls	Sara Spencer	Sara Spencer
2.0	July 14, 2022	Updated Statement of Applicability Controls	Sara Spencer	Sara Spencer
3.0	July 15, 2022	Reviewed and approved	Sara Spencer	John Lynch
4.0	July 31, 2022	Updated SoA based on latest Risk Assessment dated 31JUL22, SoA format Excel, this document was marked obsolete and added to archive folder.	Sara Spencer	John Lynch
5.0	August 4, 2022	Marked the following controls not applicable, 8.2.3, 8.3.1, 8.3.2, 12.3.1	Sara Spencer	John Lynch
5.1	September 23, 2022	External version reviewed and approved	Sara Spencer	John Lynch
6.0	August 30, 2023	Marked the following controls as applicable based on surveillance audit results: 8.2.3. 8.3.1, 8.3.2, 12.3.1	Kyle Jackson	Kyle Jackson
6.1	September 20, 2024	Updated SoA exclusions	Kristian Taylor	Gaurab Bhattacharjee